

# The Within-Strip Discrete Unit Disk Cover Problem

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## Abstract

We investigate the Within-Strip Discrete Unit Disk Cover problem (WSDUDC), where one wishes to find a minimal set of unit disks from an input set  $\mathcal{D}$  so that a set of points  $\mathcal{P}$  is covered. Furthermore, all points and disk centres are located in a strip of height  $h$ , defined by a pair of parallel lines. We give a general approximation algorithm which finds a  $3\lceil 1/\sqrt{1-h^2} \rceil$ -factor approximation to the optimal solution. We also provide a 4-approximate solution given a strip where  $h \leq 2\sqrt{2}/3$ , and a 3-approximation in a strip if  $h \leq 4/5$ , improving over the 6-approximation for such strips using the general scheme. Finally, we show that WSDUDC is NP-complete for a strip with any height  $h > 0$ .

## 1 Introduction

In the *Within-Strip Discrete Unit Disk Cover* (WSDUDC) problem, the input consists of a set of  $m$  unit disks  $\mathcal{D}$  with centre points  $\mathcal{Q}$ , and a set of  $n$  points  $\mathcal{P}$ , all of which lie in the Euclidean plane. We define the *strip*  $s$  of height  $h$  as the region of the plane between two parallel lines  $\ell_1$  and  $\ell_2$ , where  $\mathcal{Q} \cap s = \mathcal{Q}$  and  $\mathcal{P} \cap s = \mathcal{P}$ . We assume that we are provided with the lines  $\ell_1$  and  $\ell_2$ ; alternatively, a minimum width strip may be computed. We wish to determine the minimum cardinality set of disks  $\mathcal{D}^* \subseteq \mathcal{D}$  such that  $\mathcal{P} \cap \mathcal{D}^* = \mathcal{P}$ . This is a seemingly simpler context than the general Discrete Unit Disk Cover (DUDC) problem, which has no strip confining the positions of the points and disks. The DUDC problem is NP-complete [10], and has received attention due to applications in wireless networking and related optimization problems [14].

This paper addresses an open question regarding the hardness of the general DUDC problem. An implication of a polynomial time algorithm for WSDUDC for strips of any fixed width would be a simple PTAS for DUDC, using the shifting techniques of Hochbaum and Maass [9]. The recent PTAS for DUDC [12], as discussed shortly, uses fundamentally different techniques.

The notion of decomposing a problem into strip-based subproblems is natural, since an exact algorithm or PTAS for the subproblem can potentially be used to derive a general PTAS using the “shifting strategy” [9].

For example, the PTAS for the geometric unit disk cover problem (like DUDC except the centres of the disks are unrestricted) operates by dividing the problem into strips [9]. The maximum independent set of a unit disk graph may be found in polynomial time if the setting is confined to a strip of fixed height [11]. Geometric set cover on unit squares (precisely WSDUDC, except the disks are replaced with axis-aligned unit squares) may be solved optimally in  $n^{O(k)}$  time when confined to strips of height  $k$  [7]. Considering these results, the hardness of WSDUDC is somewhat surprising.

The WSDUDC problem was formally introduced by Das et al. [6], as a subroutine for their DUDC approximation algorithm. In that work, it was demonstrated that points in a strip of height  $1/\sqrt{2}$  ( $\approx 0.707$ ) may be covered in  $O(mn + n \log n)$  time using a fixed partitioning technique to obtain a 6-approximate algorithm.

The Strip-Separated Discrete Unit Disk Cover (SSDUDC) problem was first addressed by Ambühl et al. [1, Lemma 1]. The input consists of a set of points  $\mathcal{P}$  located in a strip in the plane, like WSDUDC, but the set of unit disk centres  $\mathcal{Q}$  lies strictly outside of the strip rather than in the strip. In the electronic version of this paper, we outline an  $O(m^2n + n \log n)$  time exact algorithm for SSDUDC based on [1], which we use as a subroutine in our work. The Line-Separated Discrete Unit Disk Cover (LSDUDC) problem has a single line separating  $\mathcal{P}$  from  $\mathcal{Q}$ . A version of LSDUDC was first discussed by [5], where a 2-approximate solution was given; an exact algorithm for LSDUDC was presented in [4]. Another generalization of this problem is the Double-Sided Disk Cover (DSDC) problem, where disks centred in a strip are used to cover points outside of the strip. This also has an exact dynamic programming solution [13].

Many papers have addressed DUDC using a variety of techniques, e.g. [3, 5]; a summary of such results is presented in [6]. Brönnimann and Goodrich [2] established the first constant factor approximation algorithm based on epsilon nets. Mustafa and Ray [12] described a PTAS for a more general version of DUDC based on local search. Interest in research on approximation algorithms for DUDC and related problems has remained high because of the large running time associated with the PTAS ( $O(m^{65}n)$  for a 3-approximation,  $O(m^{O(1/\epsilon)^2}n)$  in general for  $0 < \epsilon \leq 2$ ). The best tractable result for DUDC is that of [6], which

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describes a 18-approximate algorithm which runs in  $O(mn + n \log n)$  time.

### 1.1 Our Results

We provide a general  $3\lceil 1/\sqrt{1-h^2} \rceil$ -approximate algorithm for solving the Within-Strip Discrete Unit Disk Cover (WSDUDC) problem on strips of height  $h < 1$ , which runs in  $O(m^2n + n \log n)$  time. Given a strip of height at most  $2\sqrt{2}/3$  ( $\approx 0.94$ ), a 4-approximate solution is given which refines the general algorithm by checking for simple redundancy while still running in  $O(m^2n + n \log n)$  time. For a strip of height at most  $4/5$ , an  $O(m^6n)$  time 3-approximate solution is provided which uses dynamic programming to solve all sub-problems optimally (using the general  $3\lceil 1/\sqrt{1-h^2} \rceil$ -approximate algorithm on strips of height  $2\sqrt{2}/3$  or  $4/5$  would produce a 6-approximation). To conclude, we show that WSDUDC is NP-complete.

## 2 Approximation Algorithms for WSDUDC

In this section, we present algorithms for approximating the optimal WSDUDC solution. We begin with a general technique, followed by refinements which achieve better approximation factors in narrower strips.

**Theorem 1** *Given a strip of height  $h < 1$ , we may find a  $3\lceil 1/\sqrt{1-h^2} \rceil$ -approximation to the WSDUDC problem in  $O(m^2n + n \log n)$  time. If  $h \leq 2\sqrt{2}/3$ , we can improve the approximation factor to 4 in  $O(m^2n + n \log n)$  time. Given a strip of height  $h \leq 4/5$ , a 3-approximate solution may be found in  $O(m^6n)$  time.*

We define the set of rectangles  $\mathcal{R}^\circ$ , where  $R_i^\circ$  is the largest rectangle of height  $2h$  which may be covered by  $D_i \in \mathcal{D}$ , where the strip  $s$  has height  $h$  and is assumed to be horizontal. Further, we use a set of rectangles  $\mathcal{R}$  of height  $h$ , defined as  $R_i = R_i^\circ \cap s, \forall R_i^\circ \in \mathcal{R}^\circ$ .

**Observation 1** *Suppose we are given a strip of height  $h < 1$  and a unit disk  $D$  whose centre lies in the strip.  $R^\circ$  is defined as the rectangle of height  $2h$  and width  $k = 2\sqrt{1-h^2}$  which is circumscribed by  $D$ . If a point  $q$  is covered by  $R^\circ$ , then  $D$  also covers  $q$ . Furthermore,  $R^\circ$  covers the entire height of the strip.*

We divide the set of points  $\mathcal{P}$  into two sets  $\mathcal{P} = \mathcal{P}_{\mathcal{R}} \cup \mathcal{P}_{\overline{\mathcal{R}}}$ , where  $\mathcal{P}_{\mathcal{R}}$  is the set of points covered by the set of rectangles  $\mathcal{R}$ , and  $\mathcal{P}_{\overline{\mathcal{R}}} = \mathcal{P} \setminus \mathcal{P}_{\mathcal{R}}$ , i.e. those points covered by  $\mathcal{D}$  but not  $\mathcal{R}$ . The approximation algorithms proceed in two stages to compute the cover: first the points in  $\mathcal{P}_{\overline{\mathcal{R}}}$  are covered, and then the remaining uncovered points in  $\mathcal{P}_{\mathcal{R}}$  are covered. We refer to the points in  $\mathcal{P}_{\overline{\mathcal{R}}}$  as occurring in the *gaps* of the strip, and the points in  $\mathcal{P}_{\mathcal{R}}$

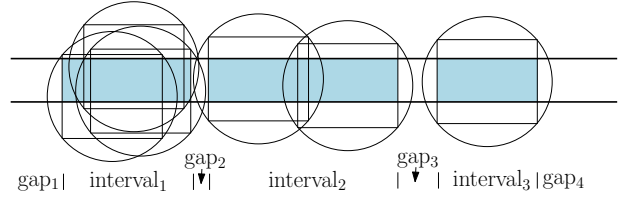


Figure 1: Intervals are continuous segments of the strip covered by the rectangles in  $\mathcal{R}$ , and gaps are the segments of the strip outside of the intervals.

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### Algorithm 1 GREEDY-RECTANGLES( $\mathcal{R}, \mathcal{P}_{\mathcal{R}}$ )

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 $\mathcal{R}' \leftarrow \emptyset$ , sort  $\mathcal{R}$  by x-coordinate, sort  $\mathcal{P}_{\mathcal{R}}$  by left boundary
while  $\mathcal{P}_{\mathcal{R}} \neq \emptyset$  do
     $p_\ell \leftarrow$  left-most point in  $\mathcal{P}_{\mathcal{R}}$ 
     $R_r \leftarrow$  right-most rectangle in  $\mathcal{R}$  covering  $p_\ell$ 
     $\mathcal{R}' = \mathcal{R}' \cup R_r$ 
     $\mathcal{P}_{\mathcal{R}} = \mathcal{P}_{\mathcal{R}} \setminus (R_r \cap \mathcal{P}_{\mathcal{R}})$ 
return  $\mathcal{R}'$ 

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are in the *intervals* (see Figure 1). In our discussion, we assume that  $h > 0$ , so that  $k = 2\sqrt{1-h^2} < 2$ .<sup>1</sup>

### 2.1 Covering $\mathcal{P}_{\overline{\mathcal{R}}}$

The centres of all disks are separated from the points in  $\mathcal{P}_{\overline{\mathcal{R}}}$  by vertical lines (those of the gap boundaries). For each gap of the strip, the points are covered optimally with the  $O(m^2n + n \log n)$  time algorithm for SSDUDC. While points in each gap are covered optimally, we may lose optimality when we combine these solutions<sup>2</sup>. Recall that rectangles have width  $k = 2\sqrt{1-h^2}$ . There is a rectangle for each disk, and so no disk centre lies within a distance of  $k/2$  of any gap. By interleaving rectangles with gaps of width  $\varepsilon$ , a disk may cover points in  $2\lceil 1/k - 1/2 \rceil$  gaps as  $\varepsilon \rightarrow 0$ . To see this, consider the right side of a disk  $D_i$ , where  $R_i$  defines an interval of width  $k/2$  on this right side. Since  $D_i$  has unit radius,  $\lceil (1-k/2)/k \rceil$  additional intervals (and gaps, one to the left of each interval) may be at least partially covered by the right half of  $D_i$ . Thus, the union of the solutions for each gap has an approximation factor of  $2\lceil 1/k - 1/2 \rceil$  for covering  $\mathcal{P}_{\overline{\mathcal{R}}}$ .

### 2.2 Covering $\mathcal{P}_{\mathcal{R}}$

To cover the points remaining after the previous step, we iteratively add the right-most rectangle that covers the left-most remaining point to the solution, as detailed in Algorithm 1 (GREEDY-RECTANGLES).

<sup>1</sup>If  $h = 0$ , all points and disk centres are collinear, and  $\mathcal{P}_{\overline{\mathcal{R}}}$  is empty. This setting is solved optimally by the GREEDY-RECTANGLES algorithm detailed in Section 2.2.

<sup>2</sup>Covering the points in the union of the gaps cannot be covered optimally in general, as the hardness proof for WSDUDC (Section 3) only has points in gaps.

**Lemma 2** A rectangle  $R'_i$  selected by GREEDY-RECTANGLES may overlap another rectangle  $R'_{i-1}$  (the previous rectangle chosen) by  $k - \varepsilon$ , for any  $\varepsilon > 0$ .<sup>3</sup>

**Lemma 3** Let  $\mathcal{R}' = \{R'_1, \dots, R'_{|\mathcal{R}'|}\}$  be the set of rectangles found by GREEDY-RECTANGLES, indexed from left to right so that  $\forall i, j, i < j \leftrightarrow \text{left}(R'_i, R'_j)$  where  $\text{left}(R'_i, R'_j)$  indicates that  $R'_i$  is left of  $R'_j$ . Then  $\forall i, j, j > i + 1 \rightarrow R'_i \cap R'_j = \emptyset$ .<sup>3</sup>

**Lemma 4** GREEDY-RECTANGLES computes a cover of  $\mathcal{P}_{\mathcal{R}}$  with an approximation factor of  $3\lceil 1/k - 1/2 \rceil$  times the optimal solution.

**Proof.** Consider the maximum number of rectangles in the GREEDY-RECTANGLES solution that may be replaced by a single disk  $D_i$  in the strip. One of the rectangles available to the algorithm is  $R_i \subset R_i^{\circ}$ , where  $R_i^{\circ}$  is circumscribed by  $D_i$ . By Lemma 2, there may be another rectangle  $\varepsilon$  to the left or right of  $R_i$  which will be selected by the algorithm, and so the approximation factor is at least 2. It may be possible to pack additional pairs of nearly overlapping rectangles as densely as permitted by Lemma 3 so that the points covered by these rectangles are also covered by  $D_i$ . Since all disks have unit radius and  $R_i^{\circ}$  is circumscribed, each side of  $D_i$  can potentially cover all points covered by at most  $2\lceil (1-k/2)/k \rceil - 1$  additional rectangles. This analysis is similar to Section 2.1, but now all rectangles are paired except for the right-most one (in a right-most pair, the region covered only by the right rectangle cannot be covered at all by  $D_i$  since we consider the pairs to have width  $k$ , i.e.  $\varepsilon = 0$ ). Thus, the total approximation factor is  $4\lceil 1/k - 1/2 \rceil$ .  $\square$

GREEDY-RECTANGLES requires both the set of rectangles  $\mathcal{R}$  and the set of points  $\mathcal{P}_{\mathcal{R}}$  to be sorted in left to right order. The sorted lists are each walked through once, so the running time is  $O(m \log m + n \log n)$ .

**2-approximation when  $k \geq 2/3$  ( $h \leq 2\sqrt{2}/3$ ).** The general algorithm for covering  $\mathcal{P}_{\mathcal{R}}$  presented above has an approximation factor of 4 when  $k \geq 2/3$ . For each pair of consecutive rectangles  $R'_{i-1}$  and  $R'_i$  found by GREEDY-RECTANGLES, we determine whether there exists a disk  $D_j$  such that  $(R'_{i-1} \cup R'_i) \cap \mathcal{P} \subseteq D_j \cap \mathcal{P}$ . To do so, we run through  $\mathcal{R}'$  in order, and check whether the current pair may be replaced by any disk in  $\mathcal{D}$ .

Consider a disk  $D_i \in \mathcal{D}^*$ , which may or may not be a member of our refined solution set.  $D_i$  may intersect at most four rectangles in  $\mathcal{R}'$ . Every consecutive pair of rectangles in  $\mathcal{R}'$  now requires at least two disks, so at least two disks are required to cover any four consecutive rectangles. Therefore, the overall approximation

<sup>3</sup>Due to lack of space, the proof of this lemma is omitted. It is presented in the electronic version of this paper.

factor is two. This operation will scan  $m$  disks for every possible disk to remove from the solution, so the operation takes  $O(m^2n + n \log n)$  time.

**Optimal solution when  $k \geq 6/5$  ( $h \leq 4/5$ ).** In this case<sup>4</sup>, the  $\mathcal{P}_{\mathcal{R}}$  sub-problem may be solved optimally using dynamic programming. We define a set of disks  $D_s$  as *mutually spanning* if each disk in  $D_s$  covers a non-empty set of points which lies to the left of all other disks in  $D_s$ , as well as a non-empty set of points lying to the right of all other disks in  $D_s$ .

**Lemma 5** If  $h \leq 4/5$ , an optimal solution to  $\mathcal{P}_{\mathcal{R}}$  requires mutually spanning sets of size at most 3.<sup>3</sup>

By Lemma 5, a dynamic program which add disks to the solution in a left-to-right fashion need only consider up to triples of disks to terminate sub-problems to ensure that the sub-problems are independent and optimal. Such a dynamic program is described in Algorithm 2. In the algorithm,  $\mathcal{D}^2$  and  $\mathcal{D}^3$  are the sets of mutually spanning doubles and triples of disks respectively, and  $\mathfrak{D}$  is the set of all sets of disks under consideration. Given two sets  $\mathcal{D}_i, \mathcal{D}_j \in \mathfrak{D}$ , if  $\mathcal{D}_i$  covers points left of  $\mathcal{D}_j$ , and  $\mathcal{D}_j$  does not cover points left of  $\mathcal{D}_i$ , we write  $\mathcal{D}_i <_c \mathcal{D}_j$  to indicate this relationship. Otherwise, we consider them incomparable under this operator. Hence, we may establish a partially ordered set over all of the sets in  $\mathfrak{D}$  w.r.t. the  $<_c$  operator. Note that directed cycles are impossible in this set, since the transitive property holds for the  $<_c$  operator. We impose a topological sorting  $\mathfrak{D} = \{\mathcal{D}_1, \dots, \mathcal{D}_{|\mathfrak{D}|}\}$  so that for any two sets  $\mathcal{D}_i, \mathcal{D}_j$  in this ordering, we have that  $i < j \rightarrow \mathcal{D}_j \not<_c \mathcal{D}_i$ .

The correctness of OPTIMAL- $\mathcal{P}_{\mathcal{R}}$  follows from the fact that all points left of a set  $\mathcal{D}_i$  are covered in a valid solution to a subproblem terminating with  $\mathcal{D}_i$ , and all mutually spanning sets up to size three are considered. OPTIMAL- $\mathcal{P}_{\mathcal{R}}$  runs in  $O(m^6n)$  time: there are  $O(m^3)$  possible combinations of disks that we consider in two nested for loops, and inside the nested loop we check the disks against the point set  $\mathcal{P}$ .

### 2.3 Combining solutions for $\mathcal{P}_{\overline{\mathcal{R}}}$ and $\mathcal{P}_{\mathcal{R}}$

Recall that the approximation factor for covering the entire set of  $\mathcal{P}_{\overline{\mathcal{R}}}$  is  $2\lceil 1/k - 1/2 \rceil$  and  $4\lceil 1/k - 1/2 \rceil$  for covering  $\mathcal{P}_{\mathcal{R}}$ , where  $k$  is the width of the rectangles. We simply sum these factors to get an overall approximation factor of  $6\lceil 1/k - 1/2 \rceil < 3\lceil 1/\sqrt{1-h^2} \rceil$  for strips of arbitrary height  $h < 1$ . The running time is  $O(m^2n + n \log n)$ , effectively dominated by the SSDUDC algorithm used to cover  $\mathcal{P}_{\overline{\mathcal{R}}}$ .

<sup>4</sup>A similar dynamic programming algorithm applies to larger strips, but the running time increases rapidly with  $h$ .

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**Algorithm 2** OPTIMAL- $\mathcal{P}_{\mathcal{R}}$  ( $\mathcal{D}, \mathcal{P}_{\mathcal{R}}$ ) (Assumes  $k \geq 6/5$ )

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 $\mathcal{D} \leftarrow \mathcal{D} \cup \mathcal{D}^2 \cup \mathcal{D}^3, m' \leftarrow |\mathcal{D}|$ 
Topologically sort  $\mathcal{D}$  on the  $<_c$  operator
 $c[0] = 0, c[1 \dots m'] = \infty$ 
for  $i = 1 \dots m'$  do
  for  $j = 0 \dots i - 1$  do
     $\text{size} \leftarrow c[j] + |\mathcal{D}_i|$ 
    if  $\text{size} < c[i]$  and no points lie between  $\mathcal{D}_i$  and  $\mathcal{D}_j$ 
      then
         $c[i] \leftarrow \text{size}$ 
  Backtrack on  $c$  to recover optimal cover  $\mathcal{D}^*$ 
return  $\mathcal{D}^*$ 

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**4-approximation when  $k \geq 2/3$  ( $h \leq 2\sqrt{2}/3$ ).** We have a 2-approximate algorithm for  $\mathcal{P}_{\mathcal{R}}$  when  $k \geq 2/3$ , and we may solve each gap of  $\mathcal{P}_{\mathcal{R}}$  optimally. For the purposes of counting, we may assume that the disks forming the cover for each gap are equally distributed amongst the neighbouring intervals for both the approximate solution and the optimal one. We are not interested in the worst-case approximation factor in any given interval; rather we are interested in the approximation factor over the strip as a whole. For each gap, only disks found in adjacent intervals may form part of the solution. Disk centres are located at least a distance  $1/3$  from the end of an interval, and so disk centres in non-adjacent intervals are more than unit distance away from the gap. Thus, for each interval of the strip, assume that  $n_\ell$  (resp.  $n_r$ ) disks are used for covering the gap to the left (resp. right), and  $n_s$  disks are used for covering the points in the interval. The minimum number of disks required is  $\max\{n_\ell, n_s/2, n_r\}$ , since both  $n_\ell$  and  $n_r$  are optimal and  $n_s$  is a 2-approximation. We conclude that  $n_\ell + n_s + n_r \leq 4 \cdot \max\{n_\ell, n_s/2, n_r\}$ , and thus it is a 4-approximation algorithm. Again, the running time is  $O(m^2n + n \log n)$ .

**3-approximation when  $k \geq 6/5$  ( $h \leq 4/5$ ).** We have optimal algorithms for computing the cover of each gap of  $\mathcal{P}_{\mathcal{R}}$  and each interval of  $\mathcal{P}_{\mathcal{R}}$ . Further, the disks covering a gap only come from the two adjacent intervals, and the disks covering an interval only come from the interval itself. Since the disks in each interval can contribute to only three problems, each of which is solved optimally, the worst-case is that three times the optimal number of disks is used. The running time of the algorithm is dominated by OPTIMAL- $\mathcal{P}_{\mathcal{R}}$ , so the overall running time is  $O(m^6n)$ .

**Corollary 6** *There is a 15- (resp. 16-) approximate algorithm for DUDC, which runs in  $O(m^6n)$  (resp.  $O(m^2n + n \log n)$ ) time.*

### 3 Hardness of WSDUDC

We prove that WSDUDC is NP-complete by reducing from the minimum vertex cover problem (VERTEX-COVER) on planar graphs of maximum degree three, which is known to be NP-complete [8]. Recall the setting for VERTEX-COVER: We are given a graph  $G = (V, E)$ , and we seek a minimum cardinality subset  $V^* \subseteq V$  such that for all  $e_{(i,j)} = (v_i, v_j) \in E$ , either  $v_i \in V^*$  or  $v_j \in V^*$ . In other words, the vertex cover is a minimum cardinality hitting set of all of the edges in the graph.

**Theorem 7** *WSDUDC is NP-complete.*

WSDUDC is in NP, since a certificate may be provided as a set of disks that covers all of the points in  $\mathcal{P}$ , which is trivial to verify.

In the reduction, we create an instance of WSDUDC from a planar graph so that a solution  $\mathcal{D}^*$  to the WSDUDC problem provides a solution  $V^*$  to the VERTEX-COVER problem on the graph. For our reduction, it is easier to consider the dual (disk piercing) setting of WSDUDC. The Within-Strip Discrete Unit Disk Piercing problem (WSDUDP) accepts a set of points  $\mathcal{Q}$ , a set of unit disks  $\mathcal{D}_{\mathcal{P}}$  with centre points  $\mathcal{P}$ , and a strip of height  $h$  as inputs, and computes the minimum number of points  $\mathcal{Q}^* \subseteq \mathcal{Q}$  such that each disk in  $\mathcal{D}_{\mathcal{P}}$  contains at least one point from  $\mathcal{Q}^*$ . Let  $\text{WS}(G)$  be the WSDUDP instance created from a graph  $G$ . Note that a solution  $\mathcal{Q}^*$  for WSDUDP is exactly the set of centre points to  $\mathcal{D}^*$ , the optimal solution to the WSDUDC problem in the primal setting.

Assume that we have a planar embedding of the graph and a horizontal strip so that the terms *left*, *right*, *above* and *below* are all well defined. Let  $\ell_{\text{vert}}^v$  be a vertical line through vertex  $v$ . For the reduction, we make use of *dummy vertices*, which are simply extra vertices that we may place on an edge of the graph  $G$ . A *dummy edge* is an edge which is incident upon at least one dummy vertex. Informally, the steps of the reduction are:

1. Obtain a planar embedding of  $G$  where each vertex has a distinct x-coordinate.
2. For any vertex  $v$  with degree three where all incident edges are left or right of  $\ell_{\text{vert}}^v$ , ‘bend’ the lowest edge with a dummy vertex so that the edge becomes incident to  $v$  from the opposite side of  $\ell_{\text{vert}}^v$ , call this new graph  $G' = (V', E')$ .
3. For each vertex  $v \in V'$ , add a dummy vertex at each point where  $\ell_{\text{vert}}^v \cap e \neq \emptyset, \forall e \in E'$ .
4. Identify each vertex  $v$  of degree one or two where all edges are incident on the same side of  $\ell_{\text{vert}}^v$ , say w.l.o.g. the edges are incident from the right. Place a vertical line  $\ell_{\text{vert}}$  between  $v$  and the next vertex

to the left, and add a dummy vertex at each point where  $\ell_{\text{vert}} \cap e \neq \emptyset, \forall e \in E'$ . This ensures that consecutive vertical arrays of vertices differ in cardinality by at most one.

5. For any pair of vertices  $v_i, v_j \in V$ , ensure that an even number of vertices occur any path from  $v_i$  to  $v_j$  in  $G'$ , by adding additional dummy vertices.
6. Create the WSDUDP instance  $\text{WS}(G)$  from  $G'$  so that every edge in  $E'$  corresponds to a disk in  $\mathcal{D}$  and every vertex in  $V'$  to a point in  $\mathcal{Q}$ . We then show that an optimal solution to WSDUDP provides an optimal cover for  $G'$ , from which an optimal vertex cover for  $G$  may be found, as required.

**Lemma 8** *Given an edge  $e_{(i,j)}$  of the graph  $G = (V, E)$ , we can add a pair of adjacent dummy vertices  $V_d = \{v_{i_1}, v_{i_2}\}$  along the edge  $e_{(i,j)}$  to create the graph  $G' = (V \cup V_d, E \cup \{e_{(i,i_1)}, e_{(i_1,i_2)}, e_{(i_2,j)}\} \setminus \{e_{(i,j)}\})$ . The graph remains planar, and the size of the optimal solution to VERTEX-COVER over  $G'$  is  $|V^*| + 1$ , where  $V^*$  is the set of vertices in a minimum vertex cover of  $G$ .<sup>3</sup>*

**Lemma 9** *Given any optimal solution  $V_G^*$  to VERTEX-COVER on  $G'$ , we can find an optimal solution  $V_G^*$  to VERTEX-COVER on  $G$  in polynomial time.<sup>3</sup>*

An example WSDUDP construction  $\text{WS}(G)$  is shown in Figure 2, to provide intuition for the gadgets used in the reduction. Each edge of the graph  $G'$  (actual or dummy) corresponds to a disk in  $\text{WS}(G)$ , and each vertex (actual or dummy) corresponds to a point in  $\mathcal{Q}$ . A point in  $\mathcal{Q}$  stabs two disks in  $\text{WS}(G)$  if the degree of the corresponding vertex in  $G'$  is two; the remaining points stab three disks and their corresponding vertices have degree three.

A wire  $w_i$  is a sequence of disks positioned so that consecutive centres are spaced  $d_{\text{disk}}$  units apart, not necessarily collinearly, where  $2\sqrt{1 - h_\ell^2} < d_{\text{disk}} < \sqrt{2 + 2\sqrt{1 - (3h_\ell/4)^2}}$ , so that there exists a small area of overlap between consecutive disks which contains a point in  $\mathcal{Q}$ .<sup>5</sup> Disk centres on adjacent wires are  $d_{\text{vert}} = 3h_\ell/2$  units apart vertically, and we define a *stack* as a set of such vertically aligned disks. The centres of the disks in a stack are shifted within the strip by  $d_{\text{vert}}/2$  relative to an adjacent stack when the number of disks in the two stacks differs, while the distance between consecutive centres in each wire remains  $d_{\text{disk}}$ .

**Lemma 10** *There is a non-empty area of intersection between three disks in consecutive stacks when the centres of the stacks are shifted by  $d_{\text{vert}}/2$  relative to each other, and  $d_{\text{disk}} < \sqrt{2 + 2\sqrt{1 - (3h_\ell/4)^2}}$ .<sup>3</sup>*

<sup>5</sup>Note that  $2\sqrt{1 - h_\ell^2} < \sqrt{2 + 2\sqrt{1 - (3h_\ell/4)^2}}$  for  $h_\ell > 0$ .

### 3.1 Gadgets

In the graph, we may encounter vertices of degree one, two, or three. With each vertex, wires may begin, end, split, merge, or continue unchanged. For vertices of degree one, the incident edge will correspond to a terminal disk on a wire. For vertices of degree two, if one edge leaves to the left and the other to the right in the embedding, this is a *trans-2* vertex, and we handle it by continuing all wires. If both edges go in the same direction (left or right), we call this a *cis-2* vertex, and we have a gadget to merge the pair of wires corresponding to the edges. Analogously, we have gadgets for both the *trans-3* and *cis-3* degree three vertices. Finally, we build a gadget to increase the number of vertices on an edge. With each gadget, we apply the analogous modification to  $G'$  by adding dummy vertices to the respective edges. This ensures that an optimal solution to  $\text{WS}(G)$  corresponds exactly to an optimal vertex cover for  $G'$ .

**cis-2 Gadget.** In this case, a pair of wires will terminate, and since the two terminal disks correspond to a pair of edges sharing a vertex, we place a vertex in the area covered by both disks and no others. An extra column of dummy nodes should be used to extend all other wires if the vertex is on an interior face of the planar embedding of the graph, since two wires are terminated simultaneously, and we may only shift wires by  $d_{\text{vert}}/2$  with each column.

**trans-3 Gadget.** Suppose we have an upper wire ending in disk  $D_u$  and a lower wire ending in disk  $D_l$ , and they merge into a single wire beginning with disk  $D_c$ . Therefore, we can place  $D_c$  at a point so that the distance between the centres of both  $D_c$  to  $D_u$  and  $D_c$  to  $D_l$  is  $d_{\text{disk}}$ , as described in Lemma 10. By placing a vertex in  $D_c \cap D_u \cap D_l$ , a single point stabs three disks, which corresponds to a vertex which can cover three edges in the graph.

**cis-3 Gadget.** For this gadget, we combine the *trans-3* and *cis-2* gadgets to build a *cis-3* configuration. In the planar graph embedding, this corresponds to introducing a bend in the lowest edge incident to the *cis-3* vertex with a dummy vertex, so that it becomes a *trans-3* vertex.

**CARD+ Gadget.** If the total number of dummy vertices added to an edge of  $G$  is odd, we require a gadget which increases the number of disks between a pair of points on a wire by one. An extra disk whose centre is very close to the centre point of a disk on the wire allows points to be placed so that the wire remains independent from adjacent wires, while increasing the number of disks on the wire by one.

Now an instance of WSDUDP  $\text{WS}(G)$  may be constructed from any planar graph  $G$  with no vertex of degree greater than three. A solution  $\mathcal{Q}^*$  to  $\text{WS}(G)$  is also a solution  $V_G^*$  to the VERTEX-COVER problem on  $G' = (V', E')$ , where  $v_i \in V'$  is mapped to  $q_i \in \mathcal{Q}$  and

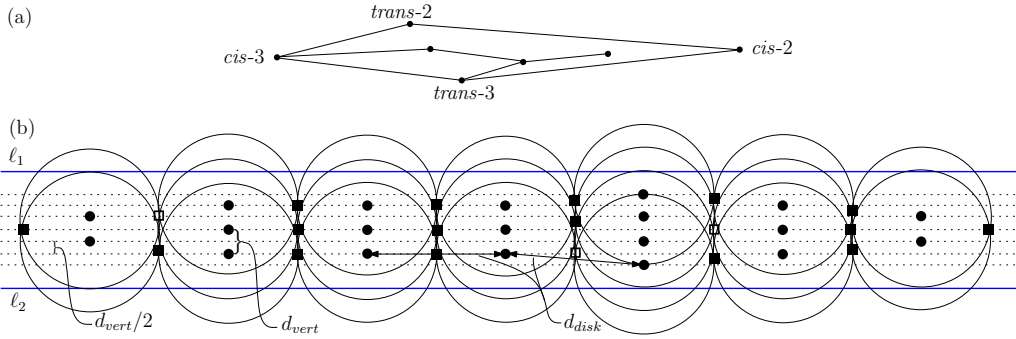


Figure 2: A sample WSDUDP construction  $WS(G)$  for the NP-hardness reduction. (a) Given a graph  $G$ , we compute a planar embedding (see Section 3.1 for vertex classes). (b) We construct a series of stacks of disks, where disks in adjacent stacks have slight overlap. The disk centres in each stack are aligned vertically and separated by a fixed distance  $d_{\text{vert}}$ . The number of disks in adjacent stacks may only vary by one. If two consecutive stacks have the same number of disks, the centres are aligned horizontally and separated by  $d_{\text{disk}}$ . If two consecutive stacks have differing numbers of disks, the centres are staggered vertically by  $d_{\text{vert}}/2$ , so that each disk centre is  $d_{\text{disk}}$  from two disk centres in the adjacent stack (thus, these stacks are distance  $\sqrt{d_{\text{disk}}^2 - d_{\text{vert}}^2}$  apart). The points of  $\mathcal{Q}$  are indicated by squares; those points stabbing three disks are empty. The centre points of the disks  $\mathcal{P}$  are displayed as filled circles.

$q_i \in D_j \leftrightarrow v_i \in e_j \in E'$ . By Lemma 9, we can find a minimum vertex cover  $V_G^*$  for  $G$  from  $V_{G'}^*$  in polynomial time. Therefore, there is a hitting set of size  $c + (|\mathcal{D}| - |V|)/2$  for  $WS(G)$  if and only if there exists a vertex cover of size  $c$  for  $G$  (exactly half of the extra points added in the construction of  $WS(G)$  from  $G$  are required for a hitting set for  $\mathcal{D}$ ). The number of disks stacked vertically in any column of  $WS(G)$  is in  $O(m)$ , where  $m$  is the number of edges and  $n$  is the number of vertices in the graph  $G$ . The number of such stacks is in  $O(n)$ , so the total number of disks and points in the WSDUDP construction is  $O(mn)$ . This completes the proof of Theorem 7.

## 4 Conclusions

We outlined several approximation algorithms for WSDUDC and a proof of NP-completeness. The general  $3\lceil 1/\sqrt{1-h^2} \rceil$ -approximate algorithm and the 4-approximation for strips of height  $\leq 2\sqrt{2}/3$  both run in  $O(m^2n + n \log n)$  time. The 3-approximate algorithm for strips of height  $\leq 4/5$  runs in  $O(m^6n)$  time.

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