# Dynamic Computational Topology for Piecewise Linear Curves \*

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## Abstract

A piecewise linear (PL) approximation often serves as the graphics representation for a parametric curve. Algorithms for preserving correct topology for a single static image are available, but significant challenges remain to ensure correct topology when the PL curve is changing shape during synchronized visualization with an ongoing simulation, such as a molecule writhing over time. A tubular neighborhood of the curve is defined to preserve topology under perturbation, but as the perturbed geometry approaches the boundary of that tubular neighborhood, any required update of the neighborhood should maintain the synchronization. The algorithimic performance of these updates is directly dependent upon the number of approximating edges and the techniques presented here decrease that data volume versus previous methods, as shown by a comprehensive comparative analysis and a representative example.

## 1 Introduction & Related Work

Molecules undergoing computer simulations are often represented by parametric curves. The simulation code describes how points on the curve move under changes in critical variables such as temperature, pressure and acidity. For graphics display, PL approximations are invoked [11]. The literature on ensuring that a *static* PL approximation retains crucial topological characteristics of the model is relatively well developed for both curves [14] and surfaces [1, 2, 10]. The more subtle challenge of maintaining topological fidelity during perturbations of PL approximations [3] is addressed here, with innovations for efficient update of topological constraints during visualization that is synchronized to an ongoing molecular simulation. These perturbations are constrained within a tubular neighborhood of c, as shown in Figure 1. This tubular neighborhood has a bounding pipe surface [14] of radius r, which depends upon two properties of c,

- the mimimal Euclidean distance between points of *c* that are distant in arc-length, and
- maximal curvature.

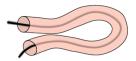


Figure 1: A tubular neighborhood about c.

For polynomial curves, the maximal curvature can be easily computed and will not be discussed further. Our attention is devoted to the first value, known as the *minimum separation distance* for c, abbreviated as  $MSD_c$ . A *double normal* is defined to be a line segment which is normal to c at both end points of the line segment. We define  $MSD_c$  to be the minimum length of all double normal line segments of c. Even for polynomial curves, the computation of  $MSD_c$  entails some subtlety. We show that an approximant of  $MSD_c$ , denoted as  $\lambda_c$  can be computed, within user-specified error bounds, from a PL approximation of c. More importantly, we provide a comprehensive comparative analysis and a representative example to justify our efficient updates of  $\lambda_c$ , as the geometry continues to perturb beyond the original constraints imposed by  $\lambda_c$ .

For graphics, once a PL curve has been shown to preserve the topological embedding of the parametric curve, then perturbations of the PL approximation are used to generate subsequent graphical images. By obvious extensions of previous methods [13], the originally calculated  $\lambda_c$  serves as a bound to continue to preserve the desired topological embedding. However, as the limiting value of  $\lambda_c$  is approached, with a perturbed PL curve  $\ell$ , then computation of the updated limit can proceed purely on  $\ell$ .

A concept closely related to these pipe surfaces is the thickness of a knot [5, 6]. Applications to molecular modeling [19] and detailed numeric algorithmic development [4, 7] have appeared, but these algorithms do not address the crucial update efficiencies considered here, even while their definition of *doubly-critical self-distances* is the same as  $MSD_c$ .

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# 2 Preliminaries

We present the curve and topological definitions that are central to this work.

#### 2.1 Class of Parametric Curves

As often occurs, this investigation is first restricted to the class of Bézier curves, as their polynomial representation avoids many cumbersome details, while still supporting theoretical inisights that can easily be generalized to the wider class of non-uniform rational Bspline (NURBS) curves. A degree n Bézier curve with control points,  $P = \{p_0, \dots, p_n\}$  is given by

$$c(t) = \sum_{i=0}^{n} \binom{n}{i} (i-t)^{n-i} p_i, \quad t \in [0,1],$$

where the PL curve formed by consecutively connecting  $p_0, \dots, p_n$  is called the control polygon of c [18]. A subdivision algorithm operates on P to generate two PL curves, each having n+1 vertices, denoted, respectively as  $P_L$  and  $P_R$ , as shown in Figure 2. The union  $P_L \cup P_R$  is also a control polygon for c but lies closer to c than the original control polygon. This process can be repeated to obtain a PL graphical approximation that is within a prescribed distance of the curve c. This is only an initial *static* approximation and the focus here is for methods to ensure that this approximant retains crucial topological characteristics as it changes over time.

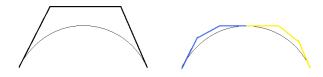


Figure 2: Initial & subdivided control polygons of c.

For ease of exposition<sup>1</sup>, we assume that the subdivision parameter is 1/2, so that the fundamental subdivision operation is to find midpoints of line segments. We remark that the statement, proof and use of Lemma 1 directly depend upon a subdivision parameter of 1/2.

## 2.2 Crucial Topological Characteristics

The traditional measure of topological equivalence is homeomorphism. A homeomorphism is a mapping,  $f: X \longrightarrow Y$ , between two subsets X and Y of  $\mathbb{R}^n$  such that:

- 1. f is bijective,
- 2. f and  $f^{-1}$  are continuous.

Homeomorphic equivalence does not capture the embedding of a curve within  $\mathbb{R}^3$ . In Figure 3 the right image is an unknot and the left is a trefoil. These structures are homeomorphic even though they are embedded differently in  $\mathbb{R}^3$ .

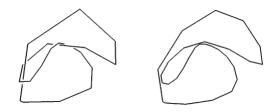


Figure 3: PL knots in  $\mathbb{R}^3$ 

We use the stronger equivalence of *ambient isotopy* to also preserve embedding of c in  $\mathbb{R}^3$ . The knots in figure 3 are not ambient isotopic. Two subspaces, X and Y, of  $\mathbb{R}^n$  are said to be ambient isotopic if there exists a continuous function  $H : \mathbb{R}^n \times [0, 1] \longrightarrow \mathbb{R}^n$  such that

- 1.  $H(\cdot, 0)$  is the identity on  $\mathbb{R}^n$ ,
- 2. H(X, 1) = Y, and
- 3.  $\forall t \in [0, 1], H(\cdot, t)$  is a homeomorphism.

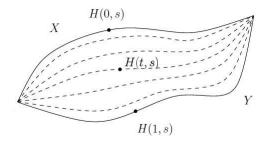


Figure 4: An isotopic deformation of X into Y.

The parameter t can be considered as variable representing time for application to animation and dynamic visualization as illustrated in Figure 4.

#### 3 Background and Notation

In this section we state some previously established results and define notation to be used throughout this paper. Let c(t) be a Bézier curve with control points  $P = \{p_0, \dots, p_n\}$ . The PL approximations presented will converge to c in both distance and derivative.

# 3.1 Approximation of c in Distance

Given the polygon generated by P the second centered difference of  $p_i$  is given by

$$\Delta_2 p_i = p_{i-1} - 2p_i + p_{i+1}$$

<sup>&</sup>lt;sup>1</sup>The reader can modify our results for other parameters.

We define  $\Delta_2 p_0 = \Delta_2 p_n = 0$ . The maximal second centered difference of the polygon generated by P is given by

$$\|\Delta_2 P\|_{\infty} = \max_{0 \le i \le n} \|\Delta_2 p_i\|_{\infty}.$$

For a degree *n* Bézier curve c(t) with control points  $P = \{p_0, \dots, p_n\}$ , after *m* uniform subdivisions the maximal Hausdorff distance between the control polygon and the curve is given by [17]

$$\left(\frac{1}{2}\right)^{2m} \|\Delta_2 P\|_{\infty} N_{\infty}(n).$$

Here  $N_{\infty}(n) = \frac{\lceil n/2 \rceil \lfloor n/2 \rfloor}{2n}$ . Note that this distance is actually attained. So subdividing  $m_1$  times guarantees that the PL structure is within a specified tolerance  $\epsilon$  where

$$m_1 = \left\lceil -\frac{1}{2} \log_2 \left( \frac{\epsilon}{\|\Delta_2 P\|_{\infty} N_{\infty}(n)} \right) \right\rceil \tag{1}$$

#### **3.2** PL Approximation of *c* in Derivative

Define the derivative operator  $\Delta$  on the control points P as follows [12],

$$\begin{aligned} \Delta P &= \{\Delta p_0, \Delta p_1, \cdots, \Delta p_{n-1}\} \\ &= n\{p_1 - p_0, p_2 - p_1, \cdots, p_n - p_{n-1}\}. \end{aligned}$$

The curve generated by the control points  $\Delta P$  is called the hodograph or derivative curve of c.

Define  $\mathcal{L}(P, [0, 1])$  to be the uniform parameterization of the control polygon  $P = \{p_0, p_1, \dots, p_n\}$ . So

$$\mathcal{L}(P,[0,1])\left(\frac{j}{n}\right) = p_j$$

and  $\mathcal{L}(P, [0, 1])$  is linear on the intervals  $\left[\frac{j}{n}, \frac{j+1}{n}\right]$ . For a Bézier curve c(t) defined on [0, 1] with control points  $P = \{p_0, \dots, p_n\}$  the discrete derivative is defined as [16]

$$D[c(t)] = \mathcal{L}(\Delta P, [0, 1]).$$

In other words, the discrete derivative is the uniform parameterization of the control polygon of the hodograph.

After applying *m* subdivisions to c(t)  $2^m$  curves are generated with control points  $\left\{p_0^{m,1}, \dots, p_n^{m,1}, p_0^{m,2}, \dots, p_n^{m,2}, \dots, p_0^{m,2^m}, \dots, p_n^{m,2^m}\right\}$ . Subdividing *m* times divides [0,1] into the intervals  $\left[\frac{k}{2^m}, \frac{k+1}{2^m}\right]$  for  $k = 0, 1, \dots, 2^m - 1$ . Each interval is associated with a unique subcurve. Now define

$$P' = n \left\{ \Delta p_0^{k,1}, \Delta p_1^{k,1}, \cdots, \Delta p_{n-1}^{k,1}, \Delta p_0^{k,2}, \cdots, \Delta p_{n-1}^{m,2^m} \right\}$$

Now write

$$P' = \{p'_0, \cdots, p'_{n2^i}\}$$

The discrete derivative of c(t) after m subdivisions is

$$D_m[c(t)] = \mathcal{L}(P', [0, 1]).$$

For a degree n curve subdivided m times we have [8]

$$\|D_m[c(t)] - \frac{d}{dt}c(t)\|_{\infty} \le \left(\frac{1}{2}\right)^{2m+1} N_{\infty}(n-1)n\|\Delta_2(\Delta P)\|_{\infty}$$

Subdividing  $m_2$  times ensures that we can approximate the derivative within a specified  $\epsilon_d$  where

$$m_2 = \left[ -\frac{1}{2} \left( 1 + \log_2 \frac{\epsilon_d}{N_\infty (n-1)n \|\Delta_2(\Delta P)\|_\infty} \right) \right]$$
(2)

## 3.3 Establishing Double Normals

For a Bézier curve c and distinct  $s, t \in [0, 1]$  define the quadratic form

$$\langle c(s), c(t) \rangle_{\mathcal{D}} = \frac{[c(s) - c(t)] \cdot c'(s)}{\|c(s) - c(t)\|}.$$

Notice that c(s) and c(t) establish a double normal if and only if

$$\langle c(s), c(t) \rangle_{\mathcal{D}} = \langle c(t), c(s) \rangle_{\mathcal{D}} = 0.$$

The subscript  $\mathcal{D}$  here denotes the fact that we are using the continuous derivative.

## 4 Using PL Structure to Calculate MSD<sub>c</sub>

In the previous section we presented a PL approximation to a Bézier curve and its derivatives. Also we defined a quadratic form that we can use to test if given points on the curve form a double normal. The transition to use of the discrete derivative is established through the modified quadratic form

$$\langle c(s), c(t) \rangle_d = \frac{[c(s) - c(t)] \cdot D_m[c(s)]}{\|c(s) - c(t)\|}.$$

The subscript d here indicates that we are using the discrete derivative.

## 4.1 Testing for Candidate Double Normals

Assume that the user has provided some  $\epsilon > 0$  and that we have refined the PL structure with *m* subdivisions, so it is within  $\frac{\epsilon}{2}$  of the curve and the derivative is approximated by the discrete derivative within  $\frac{\epsilon}{2}$ .

Define  $\vec{\gamma} \in \mathbb{R}^3$  so that  $D_m[c(s)] = c'(s) + \vec{\gamma}$ . Note that  $\|\vec{\gamma}\| \leq \frac{\epsilon}{2}$ . Also, notice that if c(s) and c(t) establish a double normal, i.e.  $\langle c(s), c(t) \rangle_{\mathcal{D}} = 0$ , then

$$\langle c(s), c(t) \rangle_d = \frac{[c(s) - c(t)] \cdot D_m[c(s)]}{\|c(s) - c(t)\|}$$

$$= \frac{[c(s) - c(t)] \cdot [c'(s) + \vec{\gamma}]}{\|c(s) - c(t)\|}$$

$$= \frac{[c(s) - c(t)] \cdot \vec{\gamma}}{\|c(s) - c(t)\|}$$

The Cauchy-Schwarz inequality states that for all vectors x and y of an inner product space it is true that

$$|\langle x, y \rangle| \le ||x|| ||y||.$$

So applying the Cauchy-Schwarz inequality yields

$$|\langle c(s), c(t) \rangle_d| \le \frac{\epsilon}{2}$$

Consider two line segments from the PL representation of c and calculate the minimum distance between the segments. Suppose this minimum distance is realized by the line segment with end points  $\mathcal{L}(P, [0, 1])(t_0)$ and  $\mathcal{L}(P, [0, 1])(s_0)$ . Then, if

$$|\langle c(s_0), c(t_0) \rangle_d| \le \frac{\epsilon}{2}$$
 and  $|\langle c(t_0), c(s_0) \rangle_d| \le \frac{\epsilon}{2}$ 

we consider the line to be a good approximation of a double normal.

# 4.2 Estimating $MSD_c$

Denote the exact  $MSD_c$  by  $\sigma$ . There exist distinct points c(s) and c(t), such that  $d(c(s), c(t)) = \sigma$ . We compute  $\lambda_c$ , our estimate for  $\sigma$  as the minimum of the distance between all pairs of disjoint edges of the approximating control polygon. There will exist distinct points p and q from those edges such that  $d(p,q) = \lambda_c$ .

**Lemma 1** Let  $\ell_0$  be the length of the longest edge of a given control polygon before any subdivision has occured and  $\ell_m$  be the length of the longest edge after m subdivisions. Then

$$\ell_m \le \frac{\ell_0}{2^m}$$

**Proof.** This follows from the definition of subdivision with subdivision parameter of 1/2.

Applying the triangle inequality we see that

$$\sigma = d(c(s), c(t)) \le d(c(s), p) + d(p, q) + d(q, c(t))$$

Let  $L_1$  be the line segment in the PL approximation that contains the point p, and  $L_2$  be the line segment passing through c(s) with the same length as  $L_1$  so that  $L_1$  and  $L_2$  form opposite sides of a rectangle (see figure 5). Let  $d_1$  denote the diagonal of the rectangle.

We note that  $d_1 < d_1^2$ , unless  $d_1 < 1$ . In the ensuing analyses, we wish to consider specific numeric bounds on *epsilon*, where we will typically assume that  $\epsilon << \ell_m$ . To do so, we will make the further simplifying assumption that  $\ell_m \ge 1$ . Theoretically, the length of each of the finitely many edges could be divided by  $\ell_m > 0$ , normalizing the measuring scale. Pragmatically, this ensures that the user can conveniently choose values of  $\epsilon$  and  $\epsilon_d$  that are small relative to 1.

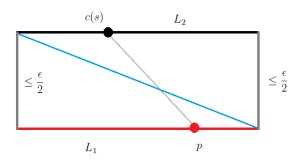


Figure 5: Estimating distance with right triangle

Note that

and

$$|L_1| = |L_2| \le \frac{\ell_0}{2^m}$$

$$d(c(s), p) \le d_1.$$

Applying the Pythagorean theorem we have

$$d_1 \le d_1^2 \le \left(\frac{\epsilon}{2}\right)^2 + \left(\frac{\ell_0}{2^m}\right)^2 = \frac{\epsilon^2}{4} + \frac{\ell_0^2}{2^{2m}}$$

So

$$d(c(s),p) \leq \frac{\epsilon^2}{4} + \frac{\ell_0^2}{2^{2m}}$$

Similarly

$$d(c(t),q) \le \frac{\epsilon^2}{4} + \frac{\ell_0^2}{2^{2m}}$$

So we have

$$\begin{aligned} \sigma &\leq 2\left(\frac{\epsilon^2}{4} + \frac{\ell_0^2}{2^{2m}}\right) + \lambda_c \\ &= \frac{\epsilon^2}{2} + \frac{\ell_0^2}{2^{2m-1}} + \lambda_c. \end{aligned}$$

Also,

$$\begin{aligned} \lambda_c &= d(p,q) \\ &\leq d(p,c(s)) + d(c(s),c(t)) + d(c(t),q) \\ &\leq \frac{\epsilon^2}{2} + \frac{\ell_0^2}{2^{2m-1}} + \sigma \end{aligned}$$

So,

$$\sigma \in [\lambda_c - E, \lambda_c + E], \text{ where } E = \frac{\epsilon^2}{2} + \frac{\ell_0^2}{2^{2m-1}}$$

In order to choose a number of subdivisions to minimize E, recall that

$$\left(\frac{1}{2}\right)^{2m} \|\Delta_2 P\|_{\infty} N_{\infty}(n) \le \epsilon,$$

and the fact that  $\epsilon$  depends on m. Let  $\delta$  denote our maximum error tolerance, and establish  $E \leq \delta$ . Let  $K = \|\Delta_2 P\|_{\infty} N_{\infty}(n)$ . Then

$$\left(\frac{1}{2}\right)^{2m} K < \epsilon$$
 and  $E = 2\left(\frac{\epsilon^2}{4} + \frac{\ell_0^2}{2^{2m}}\right)$ . So

$$E < 2\left(\frac{\epsilon^2}{4} + \frac{\epsilon\ell_0^2}{K}\right) = \frac{\epsilon^2}{2} + \frac{2\epsilon\ell_0^2}{N_\infty(n)\|\Delta_2 P\|_\infty}$$

So if we choose  $\epsilon$  so that

$$\frac{\epsilon^2}{2} + \frac{2\epsilon\ell_0^2}{N_\infty(n)\|\Delta_2 P\|_\infty} \le \delta,$$

then clearly  $E \leq \delta$ . This involves solving the following quadratic in  $\epsilon$ ,

$$(1/2)\epsilon^2 + \frac{2\ell_0^2\epsilon}{N_\infty(n)\|\Delta_2 P\|_\infty} - \delta \le 0.$$
(3)

Choose half the smallest positive root of this quadratic to substitute for  $\epsilon$  in equation (1).

### MSD<sub>c</sub> Estimation Algorithm

**Input:**  $\delta, c, \epsilon_d$ 

**0.** Calculate  $m_1, m_2$  and let  $m = \max\{m_1, m_2\}$ .

**1.** Subdivide m times to get PL approximation of c.

**2.** Compute  $d(l_i, l_j)$ , the distances between line segments in the PL structure, where  $\mathcal{L}(P, [0, 1])(t_0)$  and  $\mathcal{L}(P, [0, 1])(s_0)$  realize  $d(l_i, l_j)$ .

**3.** If  $|\langle c(s_0), c(t_0) \rangle_d| \leq \epsilon_d$  and  $|\langle c(t_0), c(s_0) \rangle_d| \leq \epsilon_d$  then keep as double normal.

4. Take minimum from Step 3. as  $\lambda_c$ .

**Output:**  $\lambda_c$ 

Figure 6: Algorithm for estimating MSD<sub>c</sub>

## 4.3 Efficient Updates by Data Reduction

The previous approach [15] constructed a PL approximation of c by uniformly partitioning [0, 1] as

$$0 = s_0 < s_1 < \dots < s_{v-1} < s_v = 1,$$

where

$$|s_{i+1} - s_i| < \min\left\{\frac{\epsilon}{\sqrt{3}K_0}, \frac{\sin\left(\frac{\epsilon}{2}\right)\mu_0}{K_1}\right\}.$$

Here  $K_0$  is the maximum value of  $||c'(t)||_{\infty}$ ,  $K_1$  is the maximum value of  $||c''(t)||_{\infty}$  and  $\mu_0$  is the minimum value of  $||c'(t)||_{\infty}$ . The points in the partition are the end points of the curves resulting from subdivision. The number of subdivisions required,  $\tilde{m}$ , is given by  $\tilde{m} = \max{\{\tilde{m}_1, \tilde{m}_2\}}$  where

$$\tilde{m}_1 = \left[ -\log_2\left(\frac{\epsilon}{\sqrt{3}K_0}\right) \right] \text{ and}$$
$$\tilde{m}_2 = \left[ -\log_2\left(\frac{\sin\left(\frac{\epsilon}{2}\right)\mu_0}{K_1}\right) \right]$$

Our algorithm will use fewer subdivisions when  $m < \tilde{m}$ , given by our comprehensive analysis of 4 cases: Case 1:  $m_1 < \tilde{m}_1$ . This is true if

$$-\frac{1}{2}\log_2\left(\frac{\epsilon}{\|\Delta_2 P\|_{\infty}N_{\infty}(n)}\right) < -\log_2\left(\frac{\epsilon}{\sqrt{3}K_0}\right) + 1.$$

In other words if

$$\frac{3K_0^2}{\|\Delta_2 P\|_{\infty} N_{\infty}(n)\epsilon} > \frac{1}{4} \tag{4}$$

then  $m_1 < \tilde{m}_1$ . If there is no restriction on  $\epsilon$  then clearly we can choose  $\epsilon$  small enough so (4) holds. Otherwise (4) will hold unless  $K_0$  is small, the degree of the curve is very large or  $\|\Delta_2 P\|_{\infty}$  is large (i.e. the control polygon has a narrow spike). So for example if  $\epsilon = 0.01$  and the curve is cubic

$$\frac{3K_0^2}{\|\Delta_2 P\|_{\infty} N_{\infty}(n)\epsilon} = 300 \left(\frac{M_0^2}{\|\Delta_2 P\|_{\infty}}\right).$$

So the ratio on the right would need to be less than  $\frac{1}{1200}$  for the above inequality to be reversed. Case 2:  $m_2 < \tilde{m}_2$  when

$$\frac{\sin\left(\frac{\epsilon}{4}\right)\mu_0\sqrt{n\|\Delta_2(\Delta P)\|_{\infty}N_{\infty}(n-1)}}{\sqrt{\epsilon}K_1} < \sqrt{8}$$

This holds unless  $K_1$  is small,  $\mu_0$  is large,  $\|\Delta_2(\Delta P)\|_{\infty}$  is large, or the degree is large. So for a cubic with  $\epsilon = 0.01$  for this inequality to be false  $\frac{\mu_0}{K_1} > 130.6$ .

Case 3:  $m_1 < \tilde{m}_2$  if

$$\frac{\sin\left(\frac{\epsilon}{2}\right)\mu_0\sqrt{\|\Delta_2 P\|_{\infty}N_{\infty}(n)}}{K_1\sqrt{\epsilon}} < 2$$

This will not hold for large  $\mu_0$ , small  $K_1$  or curves of large degree. Note that  $\|\Delta_2 P\|_{\infty}$  is an approximation of  $K_1$ .

Case 4:  $m_2 < \tilde{m}_1$  if

$$\frac{N_{\infty}(n-1)\|\Delta_2(\Delta P)\|_{\infty}n\epsilon}{K_0^2} < \frac{8}{3}$$

which holds unless  $K_0$  is small,  $\|\Delta_2(\Delta P)\|_{\infty}$  is large or the degree is large. So outside of the circumstances described above  $m < \tilde{m}$ . The analysis suggests avoiding 'high degree' curves, as can generally be done in graphics [11]. The other characteristics in the shape of the curve will be assessed in problem specific contexts. Recall, also that the number of approximating segments is exponential in m and  $\tilde{m}$ , so that even modest differences here can have a significant effect on performance of the topological updating, which depends directly on the number of approximating segments. This is now shown with a representative example.

# 5 Representative Example

Consider a composite cubic Bézier curve, consisting of five sub-curves, that forms a trefoil knot (figure 7). The control points and calculations are given in the extended version of this paper [9]. Choosing  $\delta = 0.25$  and  $\epsilon_d =$ 0.01, gives  $\epsilon = 0.01$ , so m = 6. The maximum error is given by E = 0.0034415. This gives a maximum relative error of approximately 0.0078. Note that 6 subdivisions yields 960 line segments. Comparatively the previous approach involved 10,240 line segments [15].

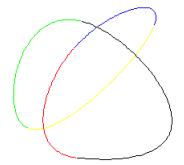


Figure 7: Composite Bézier Curve

## 6 Conclusion and Future work

We present theory, accompanied by an illustrative example, for efficient updates to constraints for preserving the topological embedding of curves during dynamic visualization of molecular simulations. In high performance computing applications, an accompanying visualization could have millions of frames, so it also becomes important to assess accumulated numerical errors over the total time interval upon realistically challenging data sets. In principle, the dynamic topological results presented here for curves should extend to surfaces, but practical testing on surface data remains a future consideration.

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